

This Order has been published by NASD's Office of Hearing Officers and should be cited as OHO Order 03-07 (CAF020048).

evidence that he is a bona fide officer of _____. Mr. C is directed to file a power of attorney with the appropriate certified corporate resolution indicating that he is authorized to act for _____.

With respect to the information concerning the filing of the BDW with NASD, _____ is directed to supplement the information with the date of the filing and a copy of the filing.

The appropriate notice and supplemental information must be filed no later than 5:00 p.m., Eastern Time, April 16, 2003. A failure to do so may result in _____ being deemed in default. _____ is reminded that all papers that are filed must be accompanied by certificate of service as required by Rule 9135(c).

If there are any questions regarding a filing, the Parties may address any questions initially to Nick Laliberté, the legal assistant in this matter, at (202) 728-8460.

SO ORDERED.

Sharon Witherspoon
Hearing Officer

Dated: Washington, DC
April 8, 2003